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SECURITIES AND EXCHANGE COMMISSION FO Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Inst 4. Innovator S&P 500 Ultra Buffer ETF - October If Underlying Instrument is an Index. State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. UOCT 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Various** Settlement Methodology of New Derivative Securities Product: 8. See Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus 18010830 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 October 1, 2018 Date: Section Rule Public

OCT 0 5 2018

Availability:



October 1, 2018

## By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

BOCT	Innovator S&P 500 Buffer ETF - October
POCT	Innovator S&P 500 Power Buffer ETF - October
UOCT	Innovator S&P Ultra Buffer ETF - October

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

ardin

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

**Enclosures**